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PATENT

Case Docket No.: 99,198

ASSISTANT COMMISSIONER FOR PATENTS
BOX PATENT APPLICATION FEE
Washington, D. C. 20231
Sir:

Date: April 18, 2000

Transmitted herewith for filing is the patent application of:

Inventor: Roger P. Jackson

For: ANTERIOR EXPANDABLE SPINAL FUSION CAGE SYSTEM

Enclosed are:

- ☒ Abstract of the Disclosure (1 page) and
☐ 53 Pages of Specification and Claims
☐ 9 Sheets of drawings
☒ Information Disclosure Statement
☒ Verified statements to establish small entity status under
37 C.F.R. 1.9 and 37 C.F.R. 1.27
☒ The filing fee has been calculated as shown below:



FOR	NO. FILED	NO. EXTRA	SMALL ENTITY		OTHER THAN A SMALL ENTITY	
			RATE	FEE	RATE	FEE
BASIC FEE	*****	*****	****	\$ 345	or ****	\$ 690
TOTAL CLAIMS	30 - 20 = 10		x 9=	\$ 90	or x18=	\$
INDEP. CLAIMS	10 - 3 = 7		x39=	\$ 273	or x78=	\$
MULTIPLE DEPENDENT CLAIM PRESENTED			+130	\$	or +260=	\$
TOTAL				\$ 708	or TOTAL	\$

☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 50-1253. A duplicate copy of this sheet is attached.

☒ Our check No. 1161 is also enclosed to cover, among other items, the above filing fee.

Respectfully submitted,

John C. McMahon

JCM:kdc

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Reg. No. 29,415

VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS
BY INVENTOR

Applicant: Roger P. Jackson

Serial No.:

Filed:

For: ANTERIOR EXPANDABLE SPINAL FUSION CAGE SYSTEM

As a below-named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 C.F.R. 1.9(c) for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the above-entitled invention described in:

- (X) the specification filed herewith.
() application Serial No. _____, filed _____.

I have not assigned, granted, conveyed or licensed, and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who, upon knowledge and belief, could not be classified as an independent inventor under 37 C.F.R. 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 C.F.R. 1.9(d) or a nonprofit organization under 37 C.F.R. 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:


Name of Concern: Not applicable
Address of Concern: Not applicable

I acknowledge my duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

4-13-2000

Date


Roger P. Jackson

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